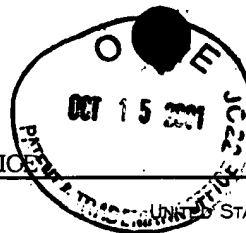




## UNITED STATES PATENT AND TRADEMARK OFFICE

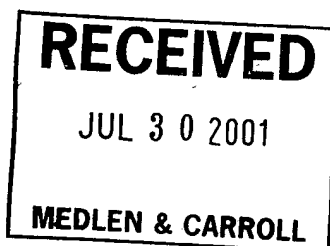


COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

MAD

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/867,930	05/30/2001	Blake J. Roessler	UM-06192

MEDLEN & CARROLL, LLP  
220 Montgomery Street, Suite 2200  
San Francisco, CA 94104



CONFIRMATION NO. 7740

## FORMALITIES LETTER



\*OC000000006349013\*

Date Mailed: 07/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

9/26/01/ew

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Innovation Division (703) 308-1202

Initial Patent Fee \$65.00

PART 1 - ATTORNEY/APPLICANT COPY

65.00 OP

10/17/2001 HTECKLU1 00000040 09867930

01 FC:205

65.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Blake J. Roessler *et al.*  
Serial No.: 09/867,930  
Filed: 05/30/01  
Entitled: Nanoemulsion Formulations

Attorney Docket No. UM-06192

Group No.:  
Examiner:

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on **October 11, 2001**.

By: 

Mary Ellen Waite

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed **07/26/01** (a copy of which is enclosed), applicants submit the following documents:

- ▶ Executed declaration or oath
- ▶ an Assignment document for recording whereby the subject application and the invention disclosed therein is assigned to The Regents of the University of Michigan, 3003 South State Street, Ann Arbor, MI 48109-1280 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
- ▶ Power of Attorney by Assignee
- ▶ Petition for extension of time for filing within the first month

A check in the amount of **\$160.00** is enclosed herewith to cover:

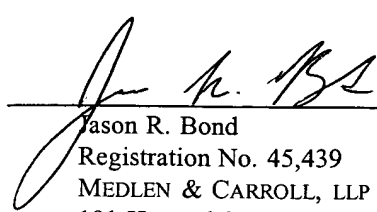
\$65.00 surcharge for filing missing parts of an application

\$40.00 recordation fee

\$55.00 for filing a one month extension of time

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. **08-1290**. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: October 11, 2001

  
Jason R. Bond  
Registration No. 45,439  
MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 305  
San Francisco, California 94105  
415/904-6500